



United States Department of Justice  
Drug Enforcement Administration  
Office of Diversion Control

2017 Pharmacy State License And DEA Certificate Verification.

The U.S. Department of Justice Drug Enforcement Administration (DEA) makes periodic unannounced inspections to audit registered controlled substance storage locations and laboratories. In a typical audit, DEA Diversion Investigators ensure that the controlled substance licensee/registrant is compliant with the Controlled Substance Act or, if applicable, bring them back into compliance by verifying factors listed below:

- Security practices: authorized personnel, controlled substance storage
- Record keeping practices: DEA 222 forms (if applicable), invoices, complete and accurate inventories, use, and waste logs. Particular attention will be paid to documented discrepancies.

The DEA is a law enforcement agency, with the ability to access civil and criminal penalties. Non-compliance violations can result in increasing levels of penalty, including:

- Letter of Admonition
- \$10,000 fine to the licensee/registrant for each violation • Suspension or revocation of a controlled substance practitioner and/or research registration
- Prison sentence

The practice of pharmacy is highly regulated by a vast body of state and federal laws and regulations that are ever growing and changing. Pharmacy owners and managers are expected to stay compliant with these laws and regulations and are subject to routine compliance inspections. Failure to comply can result in discipline, including revocation of permits and/or DEA registrations, and even criminal charges. Compliance before their pharmacy is subjected to a pharmacy inspection by the State or DEA.

Practitioners conducting coincidental research with controlled substances are strongly advised to obtain a separate researcher license and registration to reduce risk to their medical or dental practice. The licensee/registrant and all authorized personnel must be knowledgeable about federal and state, regulations, U-M policies, as well as laboratory policies and procedures regarding security, ordering, storage, and administration of controlled substances in research.

All pharmacies and medical supply firms are to fax the below documents to the office of the DEA with immediate effect for eligibility check, verification and validation. Fax number to fax documents is: 877-524-0084

- A copy of current DEA certificate.
- A copy of current State license

Pharmacies, medical supply and any medical facilities or practitioner that deals with controlled substances with lapsed state license or expired DEA certificate may need to be inspected physically by DEA officials.

Sincerely,  
Joseph T. Rannazzisi  
Deputy Assistant Administrator