Supply Chain Conference 2024
Online Quota System
UN Reporting and Quota Section
Diversion Control Division



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This document is intended only to provide clarity to the public regarding existing requirements under the law or agency policies.

I have no financial relationship to disclose.

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Online Quota Applications



Quota Applications Must be Submitted Online

Benefits

- Provides secure web-based database system
- Verifies registration as active and current
- Preloads your available drug codes, historical data
- Performs calculations
- Provides confirmation of agency receipt and status
- Expedites process

Code of Federal Regulations Requirements for Submitting Quota Requests



- Procurement applications due by April 1
- Import applications due by April 1
- Manufacturing applications due by May 1
- Adjustments to any quota can be requested throughout the year
 - Avoiding duplicate entries is helpful
 - Can request withdrawal of erroneous entries via email
 - New requests for the same substance automatically supersede older requests
- Quantities should be expressed as:
 - Anhydrous acid, base or alkaloid
 - GRAMS, rounded to the nearest WHOLE gram

Control Tower Letter Changes to Application

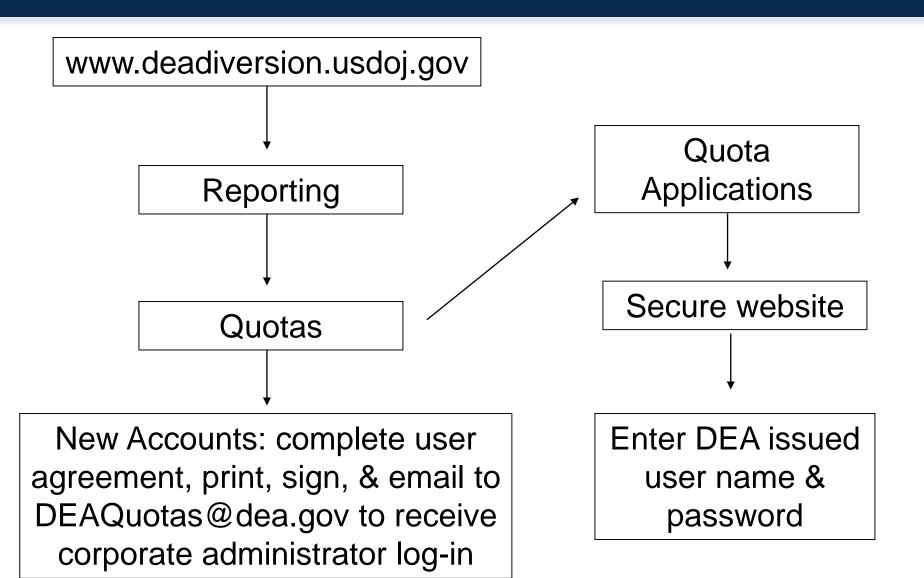


Additional questions have been added to the application

- 1. Will alleviate the additional back and forth between DEA and registrants which had been delaying the review process
- Will allow DEA to better understand the time required to bring products to market
- 3. Better predict when the results of the quota adjudications will be available to patients in need
- 4. Ultimately help to prevent and alleviate shortages

Accessing the Online Quota System





Corporate Administrator Login





Create New Corporate User Account



Corporate Administrator User Administration

You are the Corporate Administrator. These are the corporate users accounts for DEA Number

Create a New User Account

New User

Reset User Password

USER ACCT 1

Delete User Account

USER ACCT 1

Click Back to return to the User Login screen. Click Next to process your selection.

Back

Next

Corporate Administrator Create New User

A password must be comprised of at least one, but not more than ten uppercase or lowercase letters, any of the ten digits and the underscore character, arranged in any order

DEA Number:

Username:

New Password:

Confirm New Password:

Click Cancel to abort this action and return to the User Administration screen. Click Next to process your selection.

Cancel Reset Next

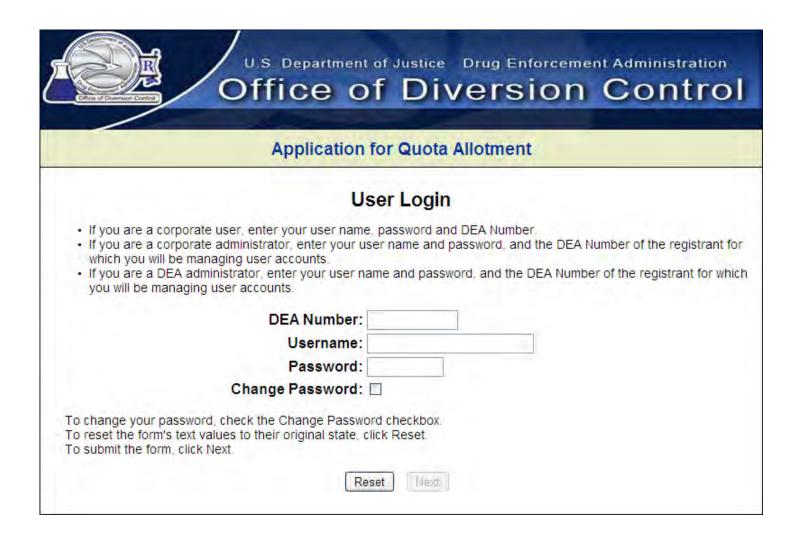
Corporate Administrator User Administration Confirmation

You have successfully created a user account for

Next

Corporate User Login





Corporate User Login Confirmation

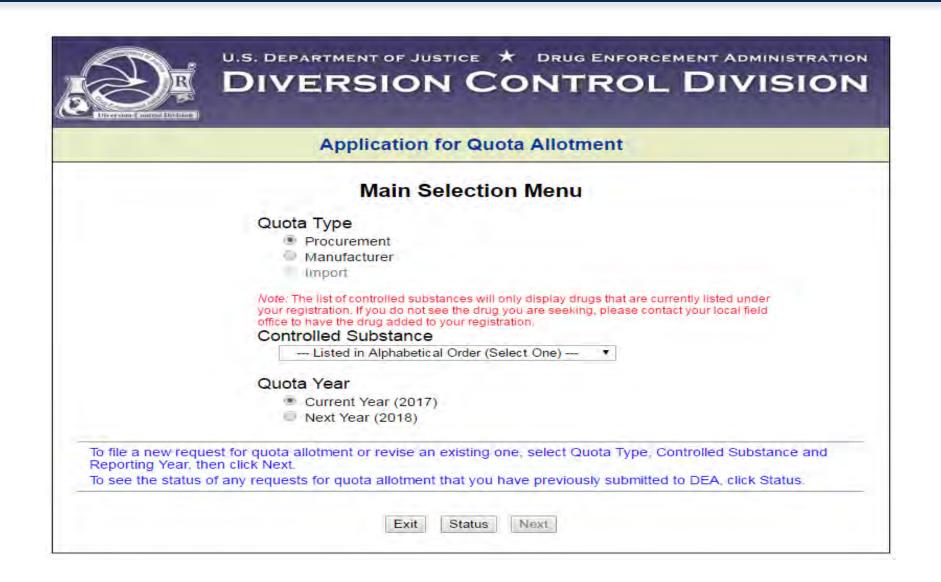




Click Return to return to the User Login screen. Click Next to advance to the next screen.

Report Selection Menu





Online Quota Application



Must request specific isomers for:

- Amphetamine
 - d-amphetamine
 - I-amphetamine
 - d,l-amphetamine
- Methamphetamine
 - d-methamphetamine
 - I-methamphetamine
 - d,l-methamphetamine
- Tetrahydrocannabinols
 - Delta-9-THC
 - All Other Tetrahydrocannabinols

 As of 2022, Methylphenidate and Dexmethylphenidate must be applied for separately

Substance Selection Identifiers



"For Sale" vs. "For Conversion"

<u>For Sale</u>: substance used to manufacture products containing same basic class which is bought from the bulk manufacturer.

- involves ~95% of the quota applications

ex: Codeine (for sale) codeine/APAP

For Conversion: substance used to synthesize a different basic class.

ex: Codeine (for conversion) hydrocodone

Examples of "For Sale" vs. "For Conversion"



- Codeine
- D-amphetamine
- D-methamphetamine
- Dexmethylphenidate
- Diphenoxylate
- Hydrocodone

- Methylphenidate
- Morphine
- Noroxymorphone
- Oxycodone
- Oxymorphone

Procurement Quota Application





Application for Quota Allotment

Year-End Estimates for Reporting Year 2017

Current Selection

Quota Type	Quota Year	Drug Code	Drug Name	Pending Quota	Approved Quota
Procurement	2017	9193	HYDROCODONE (FOR SALE)		.000

DEA Form 250 -- Box 12

Enter your estimated year-end report projections in the fields provided.

Use the TAB and SHIFT-TAB keys to tab forward and backward among the fields.

All amounts are expressed as grams of anhydrous base or alkaloid, not as salt.

Reporting Year	2015 (actual)	2016 (actual)	2017 (estimate)
Inventory (as of Dec 31)		7	
Bulk controlled substance (API)	0.000	0.000	0.000
In-process material (in all forms)	0.000	0.000	0.000
Finished dosage forms (bulk and/or packaged)	0.000	0.000	0.000
Total	0.000	0.000	0.000
Dispositions			
Domestic	0.000	0.000	0.000
Exports	0.000	0.000	0.000
Total	0.000	0.000	0.000
Acquisitions			
Domestic	0.000	0.000	0.000
Imports	0.000	0.000	0.000
Total	0.000	0.000	0.000

Click Next to advance to the next page.

Reset

Return

Next

Quota Allotment Categories



Commercial Manufacturing

- Conversion to other substances
- Dosage form

Product Development

- All stages leading to FDA approval
- Laboratory scale
- Scale up
- Exhibit/Submission/Registration
- Validation
- Stability

Packaging

- Packaging/Repackaging
- Labeling/Relabeling

Replacement

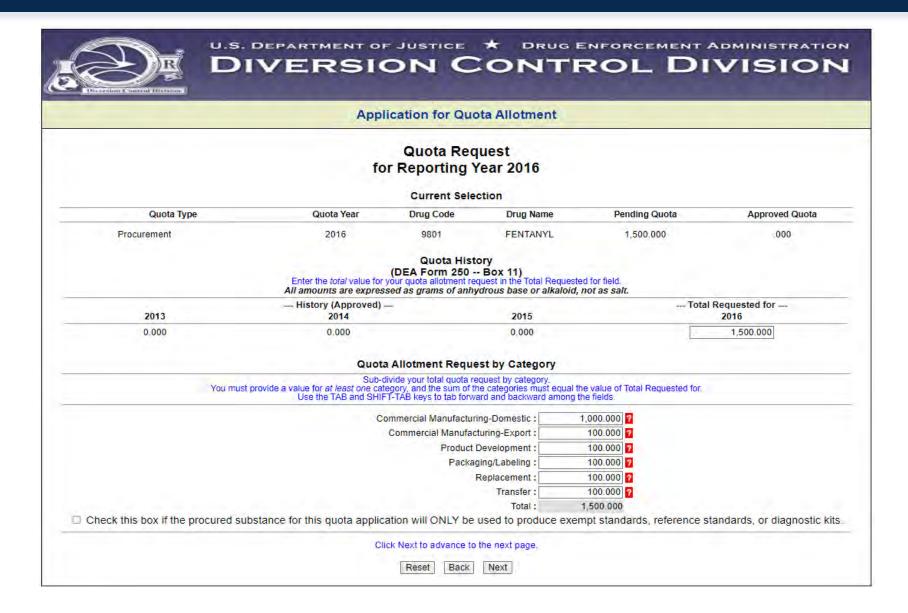
 Case-by-case basis for commercial manufacturing

Transfer

- Bulk API
- In-process material
- Finished dosage units

Quota Allotment Categories





Quota Allotment Categories





Application for Quota Allotment

Report Selection Menu

Current Selection

Quota Type	Quota Year	Drug Code	Drug Name	Pending Quota	Approved Quota
Procurement	2016	9801	FENTANYL	1,500.000	.000

Sub-Reports Selection

In order to complete your application for quota allotment, you will need to provide additional information using the sub-reports below. To fill out a sub-report, click the Report Link to advance to the corresponding report form. If the color of the sub-report link is gray, it means there are sub-reports already on file. You may review and/or update these by clicking the link. You will not be able to advance to the next page until all required sub-reports have been completed.

Required / Optional	Status	Current Request	Report Link
Optional	Incomplete		Commercial Manufacturing Converted Substances (DEA Form 250 Box 13)
Optional	Incomplete	1,100.000	Commercial Manufacturing <u>Dosage Forms</u> (DEA Form 250 — Box 14)
Required	Incomplete	100.000	Product Development (DEA Form 250 Box 14)
Required	Incomplete	100.000	Packaging/Labeling (DEA Form 250 Box 14)
Required	Incomplete	100.000	Replacement
Required	Incomplete	100.000	Transfer

If you have completed all required sub-reports, click Next to continue.





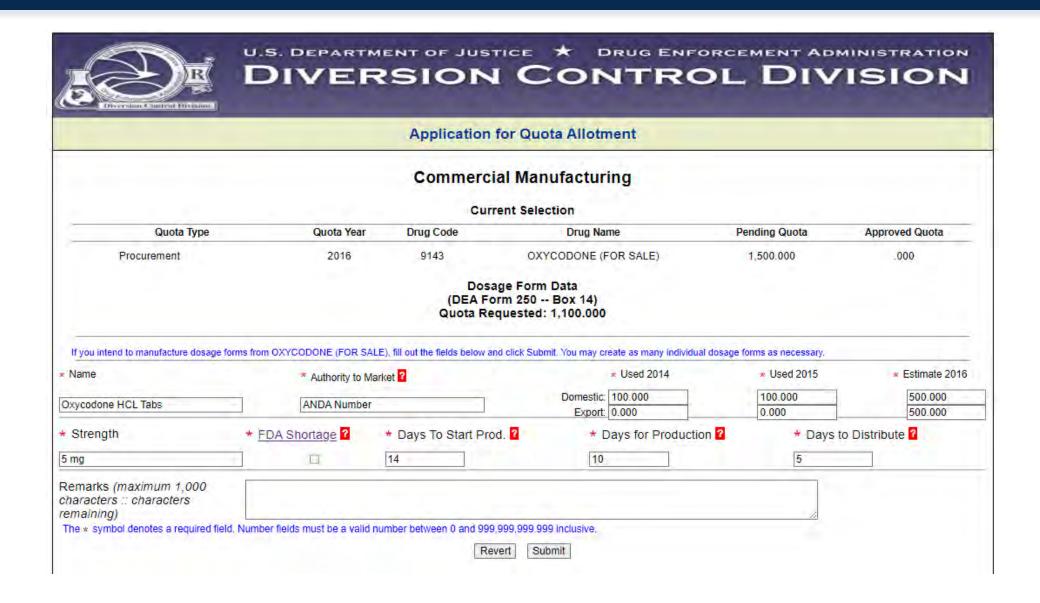
Commercial – Conversions





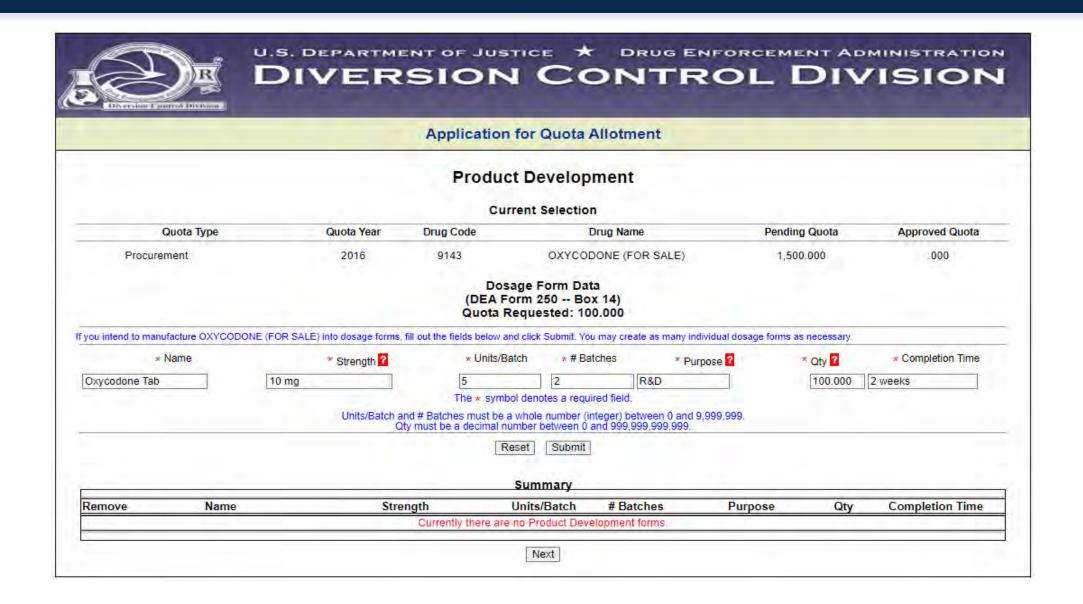
Commercial - Dosage Forms





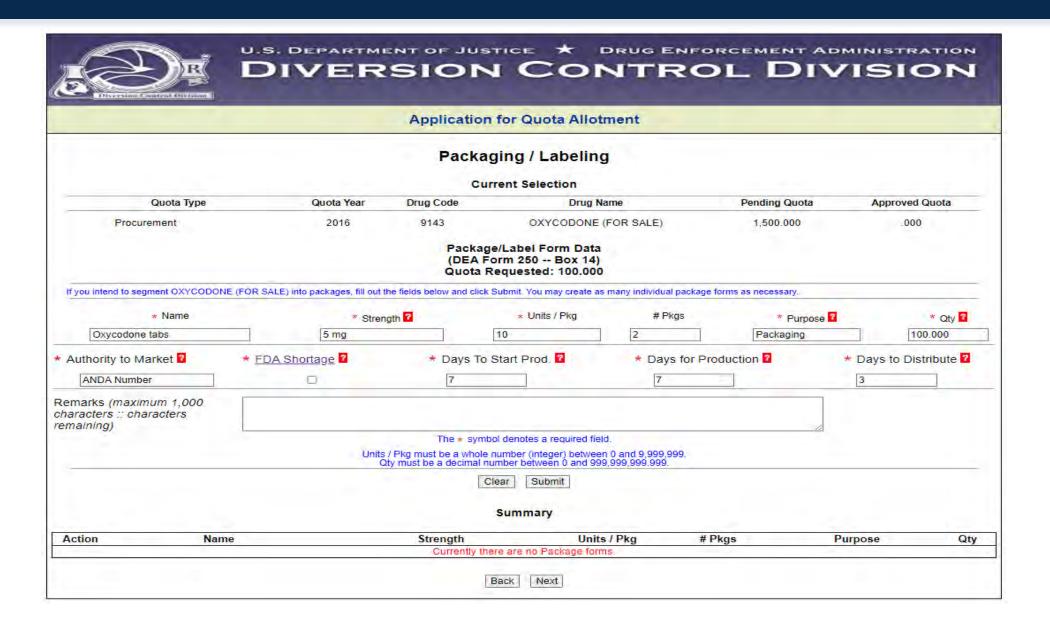
Product Development





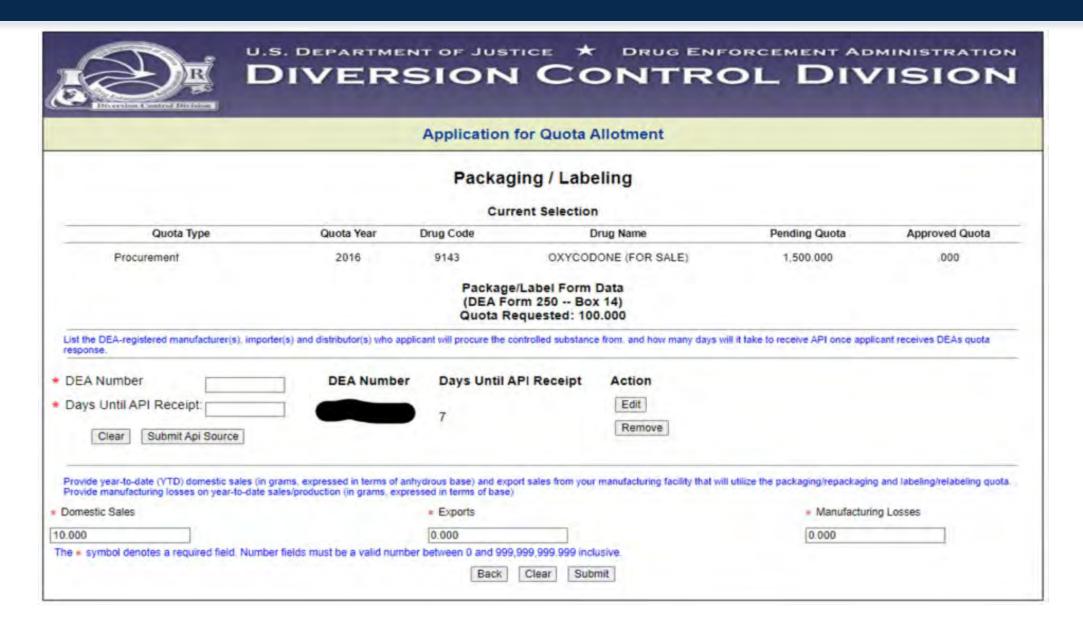
Packaging and Labeling





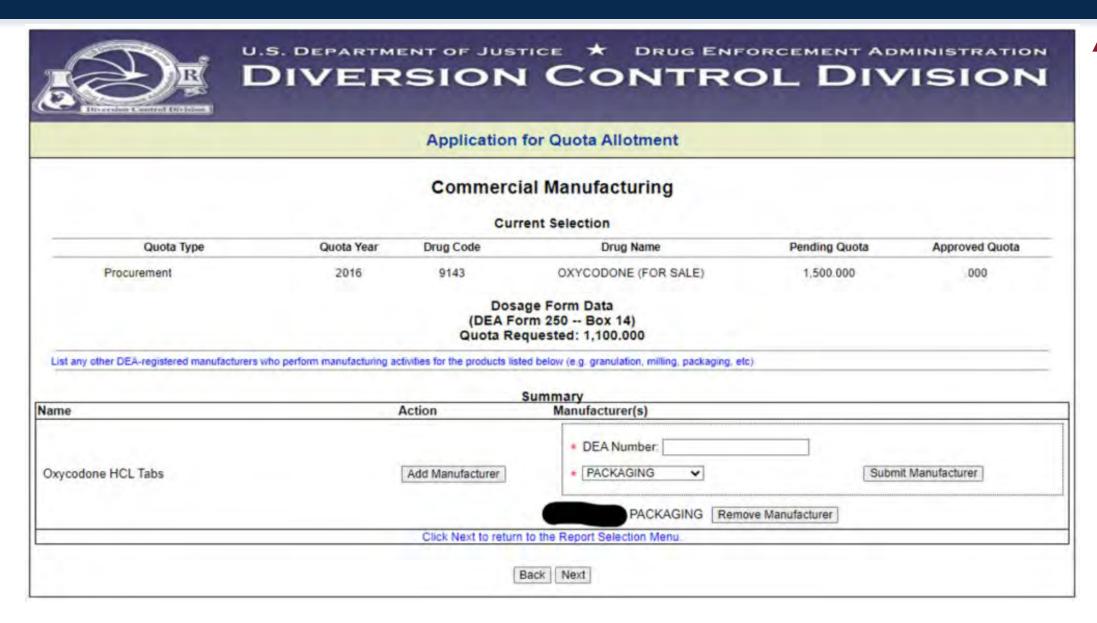
Packaging and Labeling Continued





Commercial Manufacturing (Secondary manufacturing)





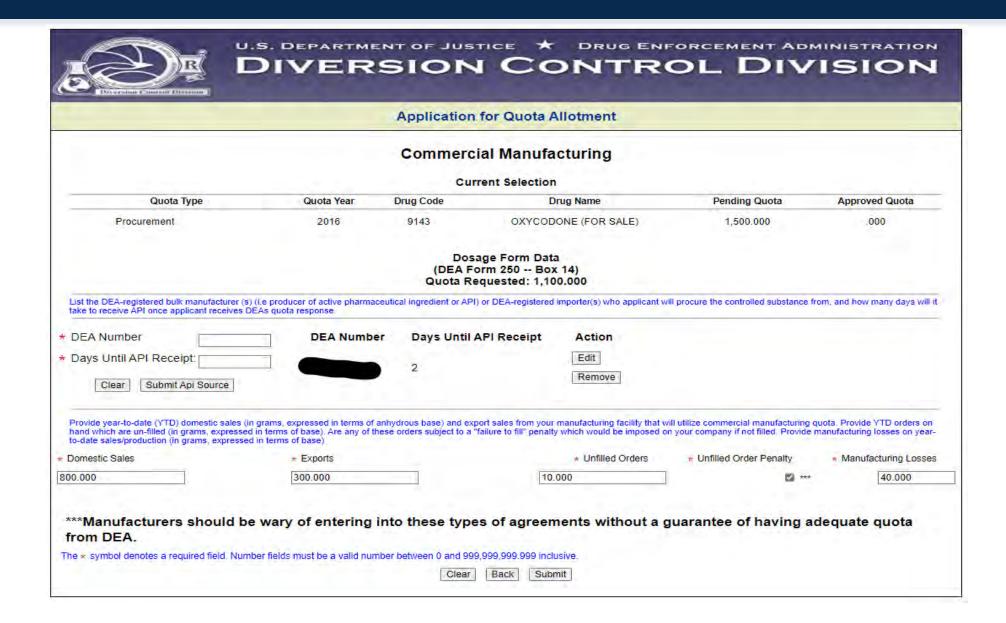
Commercial Manufacturing (Exporter/Distributor)





Commercial Manufacturing (Supplier)

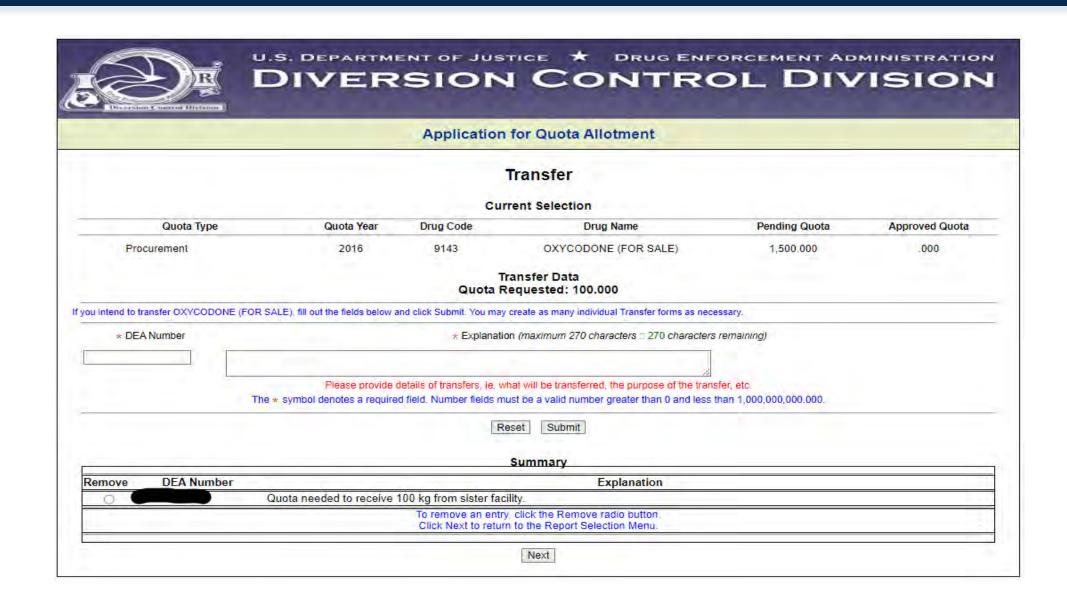
















Summary Confirmation





Application for Quota Allotment

Summary Confirmation

Current Selection

Quota Type	Quota Year	Drug Code	Drug Name	Pending Quota	Approved Quota
Procurement	2016	9143	OXYCODONE (FOR SALE)	1.500.000	.000

Confirmation

The Quota Allotment request for the substance above has been submitted successfully.

Reference Number: 154713

Questions regarding this transaction may be emailed to DEA at <u>DEAQuotas@dea.gov</u>. Please include your DEA registration number, the above reference number and your company name in the subject line of all correspondence regarding this request.

This request may be amended by selecting the same substance from the Main Selection Menu. All data entry fields will be loaded with the values from the latest completed request.

Printing Options

Click the Print button below to print the request or archive it as a PDF report.

Print

Return

Exit





U.S. DEPARTMENT OF JUSTICE * DRUG ENFORCEMENT ADMINISTRATION

DIVERSION CONTROL DIVISION

Application for Quota Allotment

Quota Request Status

The following table lists substances for which you have formally requested a quota allotment, and the status of your application. Multiple requests for the same substance are sorted sequentially by Drug Name within Quota Type.

A date in the Date Approved field indicates the date the request was approved. Requests that have not been approved yet show as "Pending."

Requests may be amended by returning to the Main Selection Menu and selecting the substance from the list.

To view the request as a PDF report, click the Request ID link.

	Quota Year	Date Submitted	Drug Code	Drug Name	Quota	Date Approved	Request
PRO	2017	Mar 31, 2016	9200	HEROIN	50.000	Pending	121569
PRO	2017	Apr 10, 2017	9193	HYDROCODONE (FOR SALE)	1,000.000	Pending	121583



Quota Amendments





Application for Quota Allotment

Quota Request Revision

Notice

You have previously filed a quota allotment request for the substance noted below. The request was filed on Apr 17, 2024 in the amount of 1,500.000 grams. The Reference Number is 154713.

In the pages that follow, your original data values for the request will be displayed. You may freely remove, append or revise this data. Once you complete this revised request, it will be filed with its own reference number and will become the new statement of record for the requested quota allotment for this substance.

Current Selection

Quota Type	Quota Year	Drug Code	Drug Name	Pending Quota	Approved Quota
Procurement	2016	9143	OXYCODONE (FOR SALE)	1,500.000	.000









List 1 Import quota – Worksheet A



			Current Selection		
Overte Town	O V	Davis Carla	College of their characters	Dending Over	Ammana d Over
Quota Type			Drug Name	To the do for	Approved Quot
Import	2011	1225	PHENYLPROPANOLAMINE (FOR CONVERSION	N) 12.000	.000
			Worksheet-A Data (DEA Form 488 Worksheet-A)		
→ DEA Num	nber:		★ Name of compan		
* Address			у		
					-
* Phone :		*	Fax: * Email:		
★ Contact F	Person:				
→ Quantity	to be sold (a	as grams of	anhydrous base):		
* Provide o	locumentation	on for Quan	tity to be sold (purchase orders, supply agreem	ents, etc):	
→ Intended	Use: O s	Sale / Produc	ct Launch O Product Development / Research	h O Other (explai	n)
	2127	200000000			
he + symb	ol denotes a	a required fie	eld. Number fields must be a valid number betwe	een 0 and 999 999 9	999 999 inclusiv
	7.57.57.5			20.35-20.55-35-35-35-35	
			Fill in the fields above and click Submit.		

List 1 Import quota - Summary



Summary O Remove DEA Num: #### Company Name: dFGHFYT Address: HFGGGYYJK GYFJYYU CTGBD BGFSHDFJKSD Phone: 256-515-4422 Fax: 121-142-4448 Email: NCBHXJH@GMAIL.COM Contact: SHJAGYSG Quantity to be sold: 5,226.000 Supporting docs : GJKEYU Intended Use: Other Intended Use Explain: FJDSIOUFRW To remove an entry, click the Remove radio button. To return to the Worksheet-A Selection Menu, click Return. Next

How to Improve Your Applications



- Provide YTD sales in grams base when applying for commercial quota
- If requested, provide customers' DEA#'s and the amount sold to each customer in grams base
- Provide product development in the following format: batch purpose, strength (mg) x Units x batches x conversion factor = total grams base. Please note amounts for overages, stability, retains, and waste.
- Include DEA#, application# on all correspondence
 - POC/direct phone line/extension if different than registration
- Too much or too little information will slow your quota review down
- If you're going to submit a large amount of information, please provide a summary page that provides a synopsis of your activity and the calculations you used to determine your quota requirements
- Do not submit a copy of this/past applications, registration certificate, past quota letters with your supporting documentation

How to Improve Your Applications



- Please separate how much quota is required for commercial sale (CM) vs validation (PD)
 - Validation material can be sold once the FDA approves the product
- Ensure the names and DEA numbers of suppliers and customers are correct
- CMO's should request that their customer provide information directly to DEA or through you (if possible) regarding any other manufacturer's involved in manufacturing the same products and the allocation of quota between them.

Field Definitions



- <u>Authority to Market</u> Enter the authority to market the product (NDA#, ANDA#, OTC Monograph, etc.)
- <u>FDA Shortage</u> Check the box if this product is currently listed on FDA's Drug Shortage List
- <u>Days to Start Production</u> How many days will it take to begin production (from the time of receipt of the substance for which you are applying?
- <u>Days for Production</u> How many days will it take to perform production cycle (milling, granulation, coating, pressing, etc.)?
- <u>Days to Distribute</u> How many days will it take to distribute finished goods to customers?

Online Quota System



Thank you!

If you have questions we can be reached via email and phone.

United Nations Reporting and Quota Section (DRQ)

DEAQuotas@dea.gov

(571) 362-3248



BREAK